

# Comparison of two ventilation modes and their clinical implications in sick children

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## Abstract

**Objective:** To compare the ventilation parameters of conventional, volume-controlled (VC), and pressure-regulated volume-controlled modes in sick children with varying lung disease, the effects of specific mode on ventilation-related complications and patient outcome, and improvement in oxygenation with any specific mode. **Design:** Retrospective case record analysis. **Setting:** Seven-bedded tertiary-care pediatric intensive care unit in North India. **Patients:** Twenty-eight ventilated children admitted from July to December 2000. **Intervention:** None. **Measurements and Main Results:** Twenty-eight patients were studied with equal number in VC and pressure-regulated (PR) VC groups. The demographic profile, as well as pre-ventilation and on ventilator blood-gas analysis were comparable in the two groups. Mean airway pressure in PRVC group was 17.5% lower as compared with that in VC group ( $P=0.03$ ). Similarly, pre-ventilation  $\text{PaO}_2$  ( $65 \pm 17$  mmHg),  $\text{PaO}_2/\text{FiO}_2$  ( $121 \pm 41$  mmHg), and respiratory index (RI) ( $4.91 \pm 2.7$ ) improved significantly ( $P<0.05$ ) with PRVC ventilation ( $\text{PaO}_2 = 99 \pm 25$  mmHg,  $\text{PaO}_2/\text{FiO}_2 = 183 \pm 8$  mmHg,  $\text{RI} = 3.36 \pm 2.95$ ) and not in VC ventilation group. There was no difference in duration of ventilation, ventilator-related complications, and patient outcome in the two groups. **Conclusion:** PRVC ventilation is beneficial and improves oxygenation in initial stages of ventilation.

**Key Words:** Mean airway pressure, Mechanical ventilation, Oxygenation, Pediatric, Pressure-regulated volume-controlled ventilation

## Introduction

Mechanical ventilation is a major part of pediatric critical care and is associated with significant morbidity, especially ventilator-induced lung injury.<sup>[1]</sup> To circumvent these deleterious effects of mechanical ventilation, a variety of sophisticated and expensive methods have been developed. Conventional mechanical ventilations, volume-controlled (VC) or pressure controlled, are still the principal modes of ventilation used in all age groups.<sup>[2]</sup> VC ventilation has the advantage of delivering a set tidal volume ( $V_t$ ), whatever peak inspiratory pressure (PIP)

is required to deliver it, whereas in pressure-controlled ventilation delivered tidal volume varies with the compliance and resistance of thorax and lungs but the set peak pressure is not exceeded. In an attempt to make ventilation more patient friendly and gentler the PRVC ventilation was developed which has the distinct theoretical advantages of both VC and pressure-controlled ventilation.<sup>[3]</sup> But very few studies are available in children to show a discernable clinical advantage of PRVC. Study by Kocis *et al.*,<sup>[4]</sup> comparing PRVC and VC, included only postoperative congenital heart disease and children with minimal lung disease, whereas Piotrowski *et al.*<sup>[5]</sup> studied the use of PRVC in neonates only. This limits our understanding of use of PRVC in majority of children in pediatric intensive care unit (PICU) who have variety of lung pathologies of varying severity.

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This study was carried out in the sick children having varying degree of lung disease to compare (a) the ventilation parameters of conventional VC and PRVC ventilation in the initial ventilatory setting and (b) the effects of a specific mode on ventilation-related complications and patient outcome. In view of the distinct theoretical advantages of PRVC mode, it was hypothesized that PRVC would result in lower peak pressure and mean airway pressure with improvement in oxygenation.

### Materials and Methods

This retrospective case record analysis was conducted in a multidisciplinary PICU of a tertiary-care hospital of a developing country. Case records of children with varied medical or surgical problems who were ventilated during a 6 months period beginning from July 2000 were analysed. During this period a total of 189 patients were admitted to the PICU and 44 children were ventilated. Sixteen patients were excluded from study. Eleven children received pressure-controlled ventilation and two were neonates whereas three patients received ventilation for less than 24 h. These included one child of severe head injury with glassgow coma scale (GCS) of 3 and had cardiac arrest and was revived in emergency and died within 2 h of PICU admission. The other was an infant who had prolonged drowning at home and was received in PICU in post arrest state and died within a few hours. The third patient had laparotomy and had failed extubation and was transferred to PICU for post-operative care. She was successfully extubated within 1 h of arrival to PICU Twenty-eight patients were included in the study, with equal number in PRVC and VC groups. Patient characteristics including age, gender, clinical features, laboratory parameters, and PrismIII score<sup>[6]</sup> at the time of admission and primary medical diagnosis were recorded (Table 1). The nonpulmonary cases in VC group had clinical, radiological, and blood-gas analysis evidence of pulmonary involvement. One case of meningoencephalitis had radiological evidence of aspiration pneumonia while patient of acute renal failure had pulmonary edema, hypoxemia, and right upper lobe atelectasis was seen in the child with infective polyneuritis. Platelet counts less than 2 lac/mm<sup>3</sup> were recorded in 10 patients in VC group and in 8 cases in PRVC group. Seven children in VC group and six patients in PRVC group had prolonged prothrombin time and activated partial thromboplastin time.

**Table 1: Patient characteristic**

	VC (n=14)	PRVC (n=14)	P
Age (median; years)	2	1.5	
M:F	10:4	12:2	
Shock	6	5	
Altered sensorium	9	9	
Seizures	7	6	
Hemoglobin (g/dl)	8.8±2.0 <sup>a</sup>	9.5±1.4	0.3
BUN (mg/dl)	25±22.0	16±8.0	0.16
Creatinine (mg/dl)	1.2±1.6	0.7±0.3	0.27
Calcium (mg/dl)	8.3±1.0	8.5±1.0	0.53
Sodium (meq/l)	137.5±5.5	135.1±5.5	0.09
Potassium (meq/l)	4.4±0.0.87	4.0±0.58	0.17
Blood glucose	146.3 ±63.5	137.2±59.1	0.7
PRISM III score <sup>b</sup>	10 (0- 22) <sup>c</sup>	11(1- 21) <sup>d</sup>	
Primary medical diagnosis			
Pneumonia	5	3	
Meningoencephalitis	2	3	
Septicemia	2	—	
Acute renal failure	2	—	
Degenerative brain disease	2	—	
Infective Polyneuritis	1	—	
Cystic fibrosis	-	2	
Bronchial asthma	-	1	
Burns	-	1	
Lung cyst	-	1	
ARDS	-	1	
Subdural hematoma	-	2	

ARDS, acute respiratory distress syndrome.

<sup>a</sup>Mean ± SD.

<sup>b</sup>Median and range.

<sup>c</sup>n=11.

<sup>d</sup>Blood urea nitrogen (BUN) = 12.

Ventilation data—The criteria of placing a child with normal or abnormal lungs on mechanical ventilation in our unit include unprotected airway, increased work of breathing, high oxygen requirement (FiO<sub>2</sub> > 0.6), PaO<sub>2</sub> < 60 mmHg, PaCO<sub>2</sub> > 50 mmHg, and hemodynamic instability or resistant shock. As a protocol in PICU, decisions to start mechanical ventilation change in ventilator settings and timing and mode of weaning are taken by attending consultants (KC, AS) based on clinical features, bedside monitoring and arterial blood gas analysis (ABG) reports. In the present study equal number of patients received PRVC and VC ventilation but the choice of particular mode was determined by the availability of a particular machine (Siemens servo 900 for VC and Siemens 300 for PRVC). At the time of study, only four ventilators were available in this busy unit. On only two occasions both ventilators were available. So, on the discretion of consultant-in-charge, the mode was selected. All patients were sedated and paralyzed with a continuous infusion of midazolam and vecuronium. Prior to mechanical ventilation all patients were provided oxy-

gen with an overhead box or with a venturi mask. Oxygen analyzer (Minion I MSA Medical products, Pittsburgh, USA) was used to measure  $FiO_2$  in overhead oxygen hood. Radial artery catheter was in place for sampling in all patients. Preventilation ABG reports were available in 11 and 12 children in VC and PRVC groups, respectively. Rest of the patients (i.e., five) were put on ventilator on the basis of clinical assessment. For this reason, PrismaIII score and respiratory index  $\{RI = \frac{p_{alveolar} O_2 - p_{arterial} O_2}{p_{arterial} O_2}\}^{[7]}$  could not be calculated in these five patients. If more than one preventilation ABG report was available, the one done immediately prior to starting ventilation was recorded. Ventilation data available from nursing charts were recorded. ABG report and ventilation setting recorded after initial stabilization (approximately 3–4 h) was used for analysis. Initial stabilization included fluid therapy, blood glucose check, and oxygen therapy, and checks the response to interventions. If the patient's clinical condition was not moribund, ABG report was checked before initiation of ventilation. As a policy in our unit, the ventilation settings are set at minimum to achieve  $pO_2$  between 60 and 90 mmHg and  $pCO_2$  between 40 and 50 mmHg, whenever possible. Eight children in VC and nine cases in PRVC group were ventilated within 6 h of admission. Three patients in each group were ventilated within 12 h and rest of patients was initiated on ventilator after 24 h of admission. Medical records were also searched for mechanical ventilation related complications including air leaks, atelectasis, hyperinflation, and ventilation-associated pneumonia.

Statistical analysis—mean and standard deviation (SD) were calculated for each variable in both VC and PRVC group. Nonparametric tests and Mann-Whitney and Wilcoxon signed rank tests were used wherever applicable.  $P < 0.05$  was considered significant.

## Results

Of the 28 patients studied, median age in VC group was 2 years (range 7 months to 7 years) and in PRVC group it was 1.5 years (range 2 months to 6 years). Preventilation and on ventilator ABG reports and ventilator settings in VC and PRVC groups are shown in Tables 2 and 3, respectively. There was significant improvement in preventilation blood pH in both VC and PRVC groups with mechanical ventilation ( $P < 0.001$ ). Improvement in the oxygenation status as revealed by  $PaO_2$ ,  $PaO_2/FiO_2$  ratio and respiratory index was significant in patients who were ventilated with PRVC mode (Table 4). There was no significant difference in variables such as  $FiO_2$  and  $pCO_2$  in before- and after-ventilation ABG reports in both groups. In PRVC group, mean airway pressure (Paw) was 17.5% lower as compared with VC group ( $P = 0.03$ ). Although no significant differences were found in PIP, Ti, and positive end-expiratory pressure (PEEP) values in two study groups. Kaplan-Meier survival test revealed that median duration of ventilation in PRVC group was 570 h and in VC group was 588 h (log-rank  $P = 0.83$ ).

Chest radiograph findings at admission and while patients were on ventilators did not show significant difference in two study groups (Table 5).

**Table 3: Comparison of ventilation parameters in VC and pressure-regulated VC ventilations**

Parameter	VC	PRVC (n=14)	P <sup>b</sup> (n=14)
$FiO_2$	0.6±0.2 <sup>a</sup>	0.56±0.19	1.0
Vt (ml)	117±72	94±47	0.2
RR (/min)	26.5±6.7	26.5±4.5	0.8
PEEP (cm H <sub>2</sub> O)	5.0±1.3	4.2±1.2	0.07
PIP (cm H <sub>2</sub> O)	23±3.5	20±4.1	0.09
Ti (s)	0.63±0.1	0.52±0.06	0.1
Paw (cm H <sub>2</sub> O)	11±2.2	9.07±1.5	0.03

$FiO_2$ , Inspired oxygen concentration.

<sup>a</sup>Mean ±SD.

<sup>b</sup>Mann-Whitney test.

**Table 2: Comparison of ABG analysis in VC and PRVC ventilation**

	Preventilation			On ventilator		
	VC (n=11)	PRVC (n=12)	P <sup>b</sup>	VC (n=14)	PRVC (n=14)	P <sup>b</sup>
$FiO_2$	0.56±0.1	0.56±0.08 <sup>a</sup>	0.6	0.60±0.2	0.56±0.1	1.0
pH	7.2±0.08	7.27±0.08	0.8	7.39±0.06	7.37±0.07	0.8
$PaO_2$ (mmHg)	83±48	65±17	0.7	100±32	98±23	0.6
$PaCO_2$ (mmHg)	57±29	49±16	0.6	41±4	43±12	0.7
$PaO_2/FiO_2$	153±86	121±41	0.7	184±79	194±81	0.4
RI	4.13±2.4	4.91±2.7	0.6	3.27±2.8	3.06±2.8	0.7

<sup>a</sup>Mean ± SD.

<sup>b</sup>Mann-Whitney test.

**Table 4: Analysis of oxygenation indices in VC ventilation and pressure-regulated VC ventilation using paired samples**

	VC (n=11)			PRVC (n=12)		
	Preventilation	On ventilator	P <sup>b</sup>	Preventilation	On ventilator	P <sup>b</sup>
PaO <sub>2</sub> (mmHg)	83±48 <sup>a</sup>	96±33	0.3	65±17	99±25	0.03
PaO <sub>2</sub> /FiO <sub>2</sub>	153±86	180±86	0.2	121±41	183±80	0.01
RI	4.13±2.4	3.54±3.2	0.4	4.91±2.7	3.36±2.95	0.01

<sup>a</sup>Mean ±SD.

<sup>b</sup>Wilcoxon signed ranks test.

**Table 5: Chest radiograph findings on admission and on ventilator**

	VC (n=14)	PRVC (n=14)
Normal	5	4
Aspiration pneumonia	1	0
Collapse consolidation	2	3
Bronchopneumonia	4	2
Pulmonary edema	2	2
Atelectasis	- [3]	- [5]
Diffuse infiltration	-	1
Bilateral hyperinflation	-	1
Lung cyst	-	1
Pneumothorax	- [1]	-
VAP	- [1]	- [2]

Figures are given in parentheses.

Eight patients in VC group and eleven from PRVC group were discharged. There were three deaths in the VC group (one each of septicemia, encephalitis, and acute renal failure) and two deaths in PRVC group (subdural hematoma and cystic fibrosis). Four patients out of 28 (three from VC group and one from PRVC group) left against medical advice (two cases of bronchopneumonia and one each of Leigh disease and acute renal failure).

### Discussion

We found in our study that PRVC mode is advantageous in initial stages of ventilation in sick children and it results in lower mean airway pressure and improves PaO<sub>2</sub>, PaO<sub>2</sub>/FiO<sub>2</sub>, and respiratory index as compared with VC ventilation. VC ventilation available on Siemens servo 900 machine has a constant flow pattern, whereas PRVC has the advantages of decelerating flow.<sup>[8]</sup> PRVC ventilation is a marriage of volume and pressure ventilation controlled by the physiologic parameters of compliance. Inspiratory pressure is regulated by feedback loop to a value based on volume/pressure calculation of the previous breath compared with a preset target tidal volume.<sup>[3]</sup> This mode theoretically combines the benefits of decelerating flow of pressure-controlled ventilation with a safety of a volume guarantee.<sup>[1]</sup> This decelerating flow has been shown to improve oxygenation by better re-

cruitment of alveoli, even distribution of ventilation, filling of alveoli with slow time constants while preventing over distension of normal alveoli, and augmenting collateral ventilation.<sup>[9,10]</sup>

There are very few reports on pediatric ventilation comparing PRVC and conventional VC ventilation. Kocis *et al.*<sup>[4]</sup> compared the effects of PRVC and VC on cardiac output, airway pressure, and blood gases in the immediate postoperative period in children with congenital heart disease with minimal lung disease. This study showed significant reduction in PIP of 19% with no change in Paw when the ventilation mode was changed from VC to PRVC while Ti, respiratory rate, and FiO<sub>2</sub> were kept constant. There was no significant change in oxygenation status. Piotrowski *et al.*<sup>[5]</sup> compared the use of patient triggered PRVC and intermittent ventilation in neonates in a prospective randomized study and did not find any difference in PIP or oxygenation status. In the present study Paw was significantly lower in critically ill patients receiving PRVC ventilation as compared with those on VC mode with no significant difference in PaO<sub>2</sub> (on ventilator) in two groups during initial few hours of ventilation. In other words, adequate oxygenation could be achieved with PRVC ventilation at lower Paw.

Davis *et al.*<sup>[10]</sup> in a prospective crossover study in 25 adults with acute lung injury comparing constant flow and decelerating flow, showed increase in Paw and PaO<sub>2</sub> and decrease in PIP with latter. Al-saddy and Bennett<sup>[11]</sup> reported decrease in PIP, total respiratory resistance, work of inspiration, ratio of dead space to tidal volume, and alveolar-arterial gradient for oxygen and improvement in compliance and PaO<sub>2</sub> while comparing volume-targeted ventilation using decelerating and constant-flow patterns in adult patients. The present study shows significant improvement in oxygenation indices including PaO<sub>2</sub>, PaO<sub>2</sub>/FiO<sub>2</sub>, and RI with PRVC ventilation and not with VC ventilation. This may indicate advantages of decelerating flow.

There are very few reports on the influence of specific mode of ventilation on the duration of ventilation, related morbidity, and outcome. Significantly shorter duration of PRVC ventilation was reported in neonates less than 1000 g only by Piotrowski *et al.*<sup>[5]</sup> Similar finding was not observed by the author in neonates more than 1000 g. Rappaport *et al.*<sup>[12]</sup> reported shorter duration of ventilation when pressure limited mode (decelerating flow) was compared with VC ventilation in adults but Guldagar *et al.*<sup>[13]</sup> did not obtain similar results in their study. The present study did not find significant difference in duration of ventilation in two groups probably owing to small sample size.

Occurrence of ventilation-related complications such as air-leak syndromes, atelectasis, or pneumonia were not found to be different in PRVC or VC groups, though Paw were lower in former. Experimental study on isolated rabbit lung model<sup>[14]</sup> showed that Paw contributes more than tidal excursion to lung hemorrhage and lung permeability alterations induced by mechanical ventilation. Parker *et al.*<sup>[15]</sup> study suggested that increased duration of the high PIP and resultant alveolar over distension is probably the injurious aspect of high Paw. Decreased incidence of intra ventricular hemorrhage was reported in neonates receiving PRVC,<sup>[5]</sup> though no similar observation was reported for pneumothorax.

We believe that the present study is the first to compare the use of PRVC and VC ventilation modes in sick children with lung disease owing to varied clinical diagnosis admitted in the PICU of a developing country. This study is different from previous studies that no experimental manipulation of ventilator parameters was done, as would be the characteristic of a prospective study. But the sample size in this study is small, owing to which comparison in different age groups or specific disease or influence of ventilation mode on duration or related complications could not be done. This study is focused on the benefits of PRVC mode in the initial ventilation. The pre-ventilation PaO<sub>2</sub> and PaO<sub>2</sub>/FiO<sub>2</sub> ratio in VC group of patients appears better than that of PRVC group. This difference was not found to be statistically significant ( $P=0.7$ ). PIP rather than plateau pressure was recorded which has its own limitation.<sup>[4]</sup> Because all our patients were sedated and paralyzed, these results may not be extrapolated to patient-triggered modes.

We conclude that although PRVC mode supports benefits in initial ventilation, a prospective crossover study with a large number of patients with a specific lung disease is needed to assess the advantages of PRVC ventilation, its effect on oxygenation, related complications, and outcome.

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